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Applicant: Gerardus J.E.L. VAN DER HEIJDEN Conf.:

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For: A METHOD AND APPARATUS FOR CALIBRATING  
A TRANSPORT SCANNER AND A TEST ORIGINAL  
FOR USE WITH SUCH METHOD

L E T T E R

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

November 21, 2003

Sir:

Under the provisions of 35 U.S.C. § 119 and 37 C.F.R. § 1.55(a), the applicant(s) hereby claim(s) the right of priority based on the following application(s):

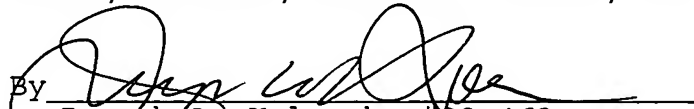
<u>Country</u>	<u>Application No.</u>	<u>Filed</u>
EUROPE	02080015.7	November 28, 2002

A certified copy of the above-noted application(s) is(are) attached hereto.

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Respectfully submitted,

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Patentanmeldung Nr. Patent application No. Demande de brevet n°

02080015.7

Der Präsident des Europäischen Patentamts;  
Im Auftrag

For the President of the European Patent Office

Le Président de l'Office européen des brevets  
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R C van Dijk

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Application no.: 02080015.7  
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Anmelder/Applicant(s)/Demandeur(s):

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Bezeichnung der Erfindung/Title of the invention/Titre de l'invention:  
(Falls die Bezeichnung der Erfindung nicht angegeben ist, siehe Beschreibung.  
If no title is shown please refer to the description.  
Si aucun titre n'est indiqué se referer à la description.)

A method and apparatus for calibrating a transport scanner and a test original  
for use with such method system

In Anspruch genommene Priorität(en) / Priority(ies) claimed / Priorité(s)  
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35 Current practice with such transport scanners has been to provide such standard

size original, to make a copy thereof, and to have any necessary calibrations applied to the scanner facility by a skilled technician. The ongoing advance of technology and its rising degree of sophistication, and furthermore the increasing usage of such transport scanners have made the necessity for such manual calibration an appreciable burden, both in terms of cost and in terms of delays incurred, in particular when the scanner may be subject to temporal drifting.

Moreover, according to prior practice, generally an already adjusted printer must be present in the overall system, which may necessitate taking recourse to a remote facility. A further problem will be met when the scanner is not locally connected to an actual printer or copier facility, which may then be present instead in a remote location, or be only available after an appreciable delay.

#### SUMMARY OF THE INVENTION

In consequence, amongst other things, it is an object of the present invention to allow such calibrating method to be used in a semi-automatic, secure, and fast manner and without the need for actually making a physical copy of the original.

Now therefore, according to one of its aspects the invention is characterized according to the characterizing part of Claim 1. The carrier of the test original may be made of a material that has a very conforming and constant size, and the image applied thereon may be shaped in such way as to allow maximum accuracy. In this way, the invention will provide for appropriate correction values to the leading edge position, the trailing edge position, and the transport speed or motor speed. It is noted that the zoom factor in transport direction and the transport speed have a linear relationship with each other and are, as far as the invention is of concern, mutual interchangeable.

By itself, United States Patent US-A-5,245,440 to Sato presents a calibration carrier provided with a calibration image, but the shape of the image includes various slanted edges and the calibration procedures followed provide pulses relating to single pixels (cf. X1, X2 in Figure 6B of Sato) and will not provide the combination of automatic execution and high accuracy attained by the calibration method of the present invention. In fact, an advantageous aspect of the present invention's calibrating is based on effecting the measurement on a plurality of pixels, so that possible degradations or variations of a few thereof would cancel or average out in the final result.

The invention also relates to an apparatus being arranged for implementing the method as claimed in Claim 1, and to a test original provided with an image for interfacing to such apparatus. Still further advantageous aspects of the invention are recited in



dependent Claims.

In particular, an extended version of the calibration according to the present invention will additionally approach the scanline width deviation or horizontal zoom correction, and also, or as an alternative, the left margin alignment or the alignment of the detector array with the right alignment stop position. Note that in general, the notions "left" and "right" may be mutually exchanged.

#### BRIEF DESCRIPTION OF THE DRAWINGS

These and further aspects and advantages of the invention will be discussed more in detail hereinafter with reference to the disclosure of preferred embodiments, and in particular with reference to the appended Figures that show:

- Figure 1, an exemplary sketch of the functional paper path applied in a scanner facility;
- Figure 2, a stylistic drawing of a test original embodiment;
- Figure 3, an image in memory resulting from scanning the test original;
- Figure 4, a simplified component diagram of a reproduction device, comprising an embodiment of the invention;
- Figure 5, a flow chart showing an embodiment of the method according to the invention.

#### DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS

- Figure 1 presents a schematic cross-sectional view of a scanning device. The scanning device shown is particularly adapted to scan large format documents such as blueprints and the like. The device 101 comprises a linear CCD array 102, two pairs of transport rollers 103 and 104 for transporting an original sheet in the indicated transport direction, a light source 105, a platen 106 for guiding the original sheet, a slit 107 in the platen for forming an oblong exposure area perpendicular to the transport direction, and an optical path 108 for projecting an image at the exposure area onto the CCD array. Furthermore is indicated a sheet sensor 109 and a gauge roll 110. Sheet sensor 109 is in the ON state when a sheet is present at the detector and is in the OFF state when no sheet is present at the detector.
- In operation, when a sheet is positioned against the nip of roller pair 103 by an operator, the sheet sensor switches to the ON state, whereupon the roller pair, after a short delay, makes a part of a turn in such a way that the sheet is pulled in over a short distance. This will effectively free the hands of the operator. The leading edge of the original sheet is then at position A, which is at a distance of  $L_{start}$  from the centre of the exposure area. After activation of the start button, the original sheet is transported along the platen and across

the exposure area with a predetermined velocity. Naturally, after starting the transport, movement acceleration will take place, but this initial phase is understood to have been terminated when the leading edge of the original passes the exposure area. The light source illuminates the original sheet at the exposure area. An image line of the original document at the image plane is projected via the optical path on the CCD array. Electrical signals generated in the elements of the CCD array in correspondence with the line image at the exposure area are read out in parallel and placed in a line buffer, which line buffer is serially read out for transport to an electrical circuit for further processing. After appropriate processing the resulting pixels are stored in memory as an electronic image in the format of a bitmap. The gauge roll allows, in cases where the light source is turned on and no original sheet is present at the exposure area, to measure and compensate for CCD sensitivity, such as against non-uniformity thereof.

Figure 1 does not show various optical aspects of the embodiment, such as the exact shape of lenses and mirrors, but such may be dimensioned according to the general state of the art. In normal mode, reading out of the CCD-array signals starts at the moment the leading edge of the original sheet passes the exposure area. In calibration mode, reading out of the CCD-array signals starts at the moment the original sheet (test original) starts transporting from its initial position A.

The scanning device is governed by a number of scanner or device parameters that control the process of making a mapping from the image on paper to a bitmap image in memory. These parameters are mainly determined by the design of the scanning device. However, due to inaccuracies, e.g. at production when assembling the device, or due to environmental circumstances, parameters may deviate from intended values. Consequently, the bitmap of a scanned image will not be an exact copy of the original image. The method according to the invention analyses the bitmap image, resulting from the test original, derives differences from the intended values, and calculates correction values for the respective parameters. Upon correction of these parameters, the conversion process from image on a page to image in memory will result in a true mapping, despite differences in particular instances of scanning devices.

Figure 2 presents a stylistic drawing of a test original embodiment. The test original 201 is of generally rectangular disposition, and is made of a material that has a very conforming and constant size, such as polyester. In the present embodiment, it is fed into the scanner facility along the direction of bold arrow 202. The test original has four edges. Adjacent edges are accurately perpendicular and opposing edges are accurately parallel to each other. Furthermore, each of the four edges of the test original has two rectangular

darkly coloured shapes or markings (204, 205, 206, 207, 208, 209, 210 and 211), that ideally are black and have identical sizes. However, other colours and shapes may in principle be open to skilled art practitioners, provided that certain accurately detectable sides are present for calibration. These dark rectangles run flush with the associated  
5 edge of the test original. In fact, their outer side is formed by the process of cutting-off the test original, so that their tolerance is only the cutting-off tolerance. Blocks 204 and 205 are at the leading edge of the test original. Blocks 206 and 207 are at the trailing edge of the test original.

Furthermore, a line pattern 212 has been applied as shown to the test original that  
10 forms a further rectangle disposed between the edges of the various dark shapes. These lines, and in particular, their various crossing points, can be used as specific measurement points for the horizontal and vertical zoom factors of the CCD timing and control, as will be disclosed hereinafter more in detail.

As step in the calibration procedure the test original is scanned in and stored as a  
15 bitmap in memory.

Now, the sensing pixels will present synchronous clocked signals that will be digitized by an arrangement not shown. On meeting the side of shape 204, the sensing pixels will more or less stepwise see their signals change from "white" to "black". For calculating the position of the edge, binarization may be effected before or after  
20 processing. In addition, interpolation of the blackness values between successive clock cycles may be effected before digital processing. In an initial stage, non-conforming pixel values may be dropped from further consideration. All of the above will present a black/white edge with a granularity of at most one vertical pixel period. The processing may take the corresponding signals from paired dark region 205 into account in an appropriate  
25 stage of the calibration calculation.

What with dark shape 210, here the outer edge will be detected by only a few pixels at a time, but various successive clock cycles will all feature the same position detection. In consequence, with respect to dark shape 204, the corroboration along an outer edge detected is lateral and spatial, whereas interpolation across the same edge is vertical and  
30 temporal. In contradistinction, for dark shape 210 the corroboration along the outer edge is vertical and temporal, whereas the interpolation across the same edge is horizontal and spatial. In general, only the outer edges of the eight dark shapes need to be used for calibration. However, in certain situations also the inner edges of the shapes could be used. Likewise, the shape of the line pattern 212, and in particular, the locations of the  
35 cross points therein, can be used for therefrom deriving all calibration data.

Fig. 3 gives a representation of the resulting bitmap in memory. The dashed lines present the borderlines of the total scan area. The total scan area is, when a calibration scan according to the invention is carried out, bigger than the total scan area used for normal scans. Line 302 is the first scan line received from the CCD; line 303 corresponds with the last scan line received from the CCD; borderlines 304 and 305 correspond with the signals from the first and last operative CCD-element of the array.

Carrying out a calibration scan is started when the operator presses the start button. This leads at the same time, to activation of the feed rollers, that start feeding the original, and reading out of the CCD-array, although at this initial moment the leading edge of the test original is still at position A. The last line 303 of the scan area is read out after lapse of a predetermined time interval, after deactivation of the sheet sensor 109, in such a way that also the trailing edge of the test original has passed along the CCD-array.

The invention will now be illustrated by application of the method according to the invention in a reproduction apparatus. It is evident, however, that application of the method according to the invention in a stand alone scanner is possible as well, or in a scanner in combination with any kind of processing device, where the scanner for calibration purposes is temporarily coupled to the processing device.

Figure 4 provides an architectural overview of a reproduction apparatus adapted to carry out the method according to the invention. The reproduction apparatus 401 comprises a scanner unit 402, a controller module 403, and a printer unit 404. The scanner unit 401 comprises a memory 405 for storage of scan parameters. The scanner unit is via a bi-directional control line 406 and a data line 407 connected to the controller unit. Scanned image data are delivered line by line to the controller via the data line. The controller module comprises a memory unit 408 and a user interface unit 409. Above that, the controller module is adapted to execute a number of software programs to perform its tasks. Indicated are a calibration program 410 and a analysis program 411. The memory unit 408 comprises a first memory area for storing a scanned image as a bitmap delivered line by line via data line 407 and a second memory area for storing a logfile of the calibration procedure. User interface unit 409 comprises a key panel or keyboard 412, comprising a start button, and a display 413.

The calibration procedure is as follows. The calibration is initiated e.g. from key panel 412. Such initiation may be effected upon a request by an operator, which need not be a specially skilled and trained calibrator technician. The calibration program 410 will be invoked, and a command is passed on to the scanner through command line 406. This procedure will bring the scanner in calibration mode and the scanner will be prepared for

scanning the test original shown in Figure 2. An appropriate message may be given on display 413 of the user interface unit to induce the operator to apply the test original and press the start button. After the operator has inserted the test original and has pushed the start button, the test original is scanned and the scan bitmap data are passed on to the  
5 controller through data channel 407 and are stored in the first memory area.

When the complete bit map has been received successfully the calibration program invokes the analysis program 411 according to the invention and starts analyzing the bitmap. When the program has calculated the relevant parameters, the analysis status succeeded and associated parameters are returned. The parameters are passed to the  
10 scanner 402 as a calibration parameter set through interface 406, where they are stored in memory 405. The latter step will allow for denial by the scanner, for example, if one or more of the parameter values would fall outside an allowed interval therefor. Absent such denial, the overall procedure will conclude successfully. The scanner parameters are updated in a data base sds\_log.mdb in a second part of memory 408. The program will  
15 report the test status as completed, and the apparatus will return to a normal user mode.

The outcome of the analysis program is composed of the following parameter values that result from the various analysis calculations:

- leading\_edge\_val + , the calculated correction value for the leading edge position;
- trailing\_edge\_val + , the calculated correction value for the trailing edge position;
- 20 ver\_zoom\_corr\_val + , the calculated correction value for the vertical zoom, or motor speed versus image length;
- hor\_zoom\_corr\_val + , the calculated correction value for the horizontal zoom or image width;
- left\_align\_val , the calculated correction value for the left margin position.

25 In the above, the left\_edge\_align value may be omitted, inasmuch as a tolerance therein would only cause a lateral shift of the image without further distortions.

Figure 5 illustrates a flow chart of the analysis program. After invocation of procedure in step 501, in step 502, the distance expressed in pixels between the leading edge and the trailing edge as defined by the outer sides of the rectangular shapes 204, 206 and 205,  
30 207 (Figure 2) is determined from the bitmap. This distance is then calculated to a distance i-n millimetres on paper when printed. The distance in millimetres is compared with the predetermined actual distance in millimetres on the test original. A correction value expressed as a percentage is then returned to the scanner. In accordance with this correction value, the transport speed of the scanner is corrected. Next, in step 503 the  
35 distance between the very first line in the bit map and the first side of the first black areas is

determined. Given the transport speed in the calibration mode, the timing of the start of transport by the first nip and the timing of reading out the first line from the CCD, the actual distance between the start position of the leading edge (Fig.1, A) and the exposure slit is calculated. From the determined distance and the transport speed in normal mode the  
5 timing of control signal *start CCD readout* is calculated, such that in normal mode the reading out of the CCD will start at precisely the moment when the leading edge of the original sheet passes the exposure slit.

In step 504 of the procedure, the timing of the trailing edge is derived from the bitmap and compared with the deactivation of the original detector (cf. Figure 1). From the time  
10 difference, and through knowing the transport speed, the actual distance in millimetres between the original detector and the exposure slit is calculated. For any other transport speed, the timing for stopping the reading out of the CCD can now be calculated.

In step 505, the distance expressed in pixels between the two vertical lines of rectangle 212 is determined. This distance, expressed in pixels, is converted to a distance  
15 in millimetres on paper when printed. This calculated distance is compared with the predetermined actual distance in millimetres on the original test chart. A correction value, as a percentage of paper width, is returned to the scanner. In accordance with this correction value, a scaling algorithm in the scanning direction can be applied. Next, in step 506 the distance in the bitmap between the first pixel in a line and the vertical black areas  
20 at the left is measured. This will give the actual distance in pixels between the physical left margin guiding means and the first pixel along the CCD array. A correction value expressed in pixels is returned to the scanner. In a normal scanning run, a number of leading pixels in a line will be discarded, in accordance with the correction value so determined. Finally, in step 507 the procedure exits.

25 By way of further deviation from the above, calibration may be terminated earlier for various reasons. A first one will be caused by the operator pushing the STOP button sometime during the calibration procedure. This will be effected by an ABORT from the scanner followed by a STOP request from the controller. Further terminations may arise as being caused by Setmemory full event (from controller to scanner), Disk full event (from  
30 controller to scanner), a STOP TEST as initiated by a operator, either before scanning has effectively started, during the scanning proper, during the analysis annex computation of the parameters, or while setting the parameters after calculation. Another reason could be a failed analysis, so that no parameter values will be found. Finally, the eventual parameters may be denied or refused by the scanner.

35 The above disclosure presents a preferred embodiment of the present invention to

which persons skilled in the art will recognize many amendments and variations; and in consequence the scope of the present invention should be considered to be limited only by the appended Claims.

**CLAIMS**

1. A method for calibrating a transport scanner apparatus arranged for scanning a two-dimensional original and forming an electronic image of it for subsequent usage in an appropriate information handling system, said scanning and forming being executed under control of device parameters, said method comprising the steps of scanning a test original, provided with a test image, and forming an electronic original image of it, characterized in that the test image features at least one marking at a predetermined position, and in that the method further comprises the step of automatically calibrating the apparatus based on the at least one marking in the electronic bit map image formed of it.
2. A method as claimed in Claim 1, where the apparatus features a zoom factor in transport direction, characterized in that the test original, featuring a leading edge, comprises two sides of at least one marking in known parallel displacement and parallel with the leading edge, and the method comprises the step of assessing a correction value for the zoom factor based on the actual parallel displacement in the electronic image of the two sides.
3. A method as claimed in Claim 2, characterized in that at least one marking on the test image has at least one side flush with an edge of the test original; and in that the step of scanning the test original comprises scanning a greater area than the area of the test original.
4. A method as claimed in Claim 3, where the apparatus features a CCD for scanning the two-dimensional original and features a leading edge timing signal for starting reading out the CCD, characterized in that the test original comprises a marking with one side flush with the leading edge; and in that the method further comprises the step of: assessing a correction value for the leading edge timing signal based on the position of the one side in the electronic image in relationship with the actually used leading edge timing signal.
5. A method as claimed in Claim 3, where the apparatus features a CCD for scanning the



two-dimensional original and features a trailing edge timing signal for stopping reading out the CCD, characterized in that

the test original comprises a marking with one side flush with the trailing edge; and in that the method further comprises the step of:

- 5 assessing a correction value for the trailing edge timing signal based on the position of the one side in the electronic image in relationship with the actually used leading edge timing signal.

6. A method according to any of the preceding claims, where the apparatus features

- 10 a zoom factor perpendicular to the transport direction,  
characterized in that

the test original comprises two sides of at least one marking parallel to the transport direction

and in that the method further comprises the step of

- 15 assessing a correction value for the zoom factor perpendicular to the transport direction based on a ratio of the distance between the two sides in the electronic image and the actual distance on the test original.

7. A method according to any of the preceding claims, where the apparatus features

- 20 a left or right margin position stop,  
characterized in that

the test original comprises a marking with one side flush with the left or right edge parallel to the transport movement; and in that the method comprises the steps of

for each line starting recording at a first available pixel element of the CCD or stopping

- 25 recording at a last available pixel element; and

assessing a correction value for the left or right margin signal based on the difference between the first or last available pixel element and the one side of the marking with the one side flush with the left or the right edge respectively.

- 30 8. A test original being arranged for usage in a method according to any of claims 1 – 7, being made of a material that has an appropriately conforming and constant size, and carrying at least one marking for automatically calibrating the apparatus.

9. A test original according to Claim 8, characterized in that markings with a side

- 35 flush with an edge of the test original are obtained by cutting the corresponding edge of the

test original.

10. An apparatus having a transport scanner facility for scanning a two-dimensional original and for forming an electronic image of it for subsequent usage in an appropriate
- 5 information handling system, said apparatus comprising calibration means for calibrating device parameters that control scanning by means of a test original, characterized in that said calibration means comprise processing means for processing the electronic image obtained by scanning the test original for deriving from an at least one
- 10 marking in the electronic image correction values for device parameters, and means for correcting the device parameters based on the derived correction values.

**ABSTRACT**

A method for geometrically calibrating a transport or feed through scanner apparatus, arranged for scanning a two-dimensional original for subsequent usage in an appropriate information handling system. The method comprises presenting a test original that has been provided with an image with the purpose to therefrom deriving a scanned image.

In particular, the method uses the test original provided with a test image featuring a leading image edge and a trailing image edge at a predetermined and known parallel displacement with respect to each other, and therefrom automatically detects a calculated first correction value for the zoom factor in transport direction or transport speed, a calculated second correction value for the leading edge position, and a calculated third correction value for the trailing edge position. Thereupon, the first, second and third correction values are updated in such scanner apparatus in accordance with such measured values therefor.

15

(Fig. 2)

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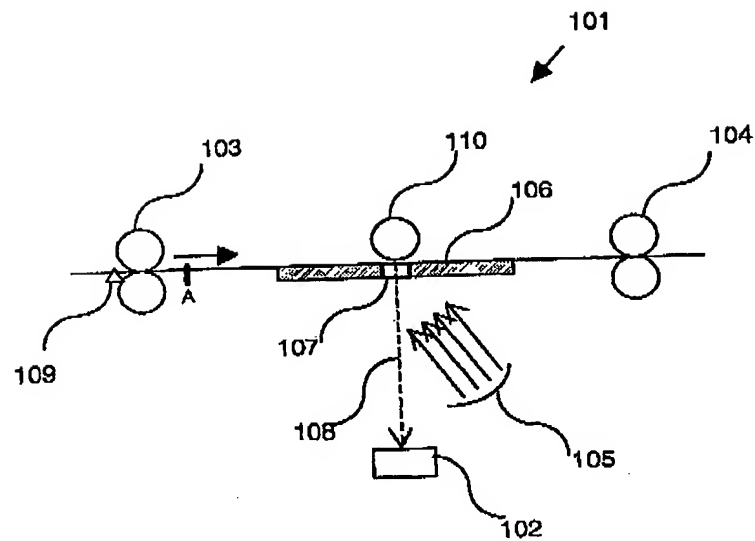


FIGURE 1

2/5

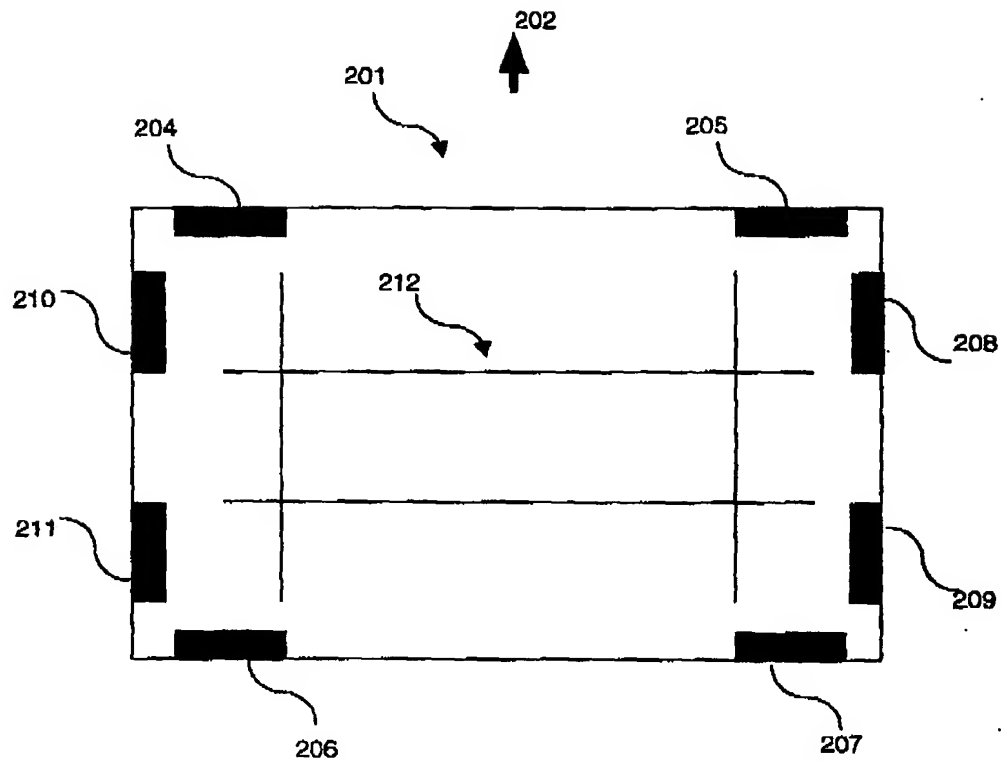


FIGURE 2

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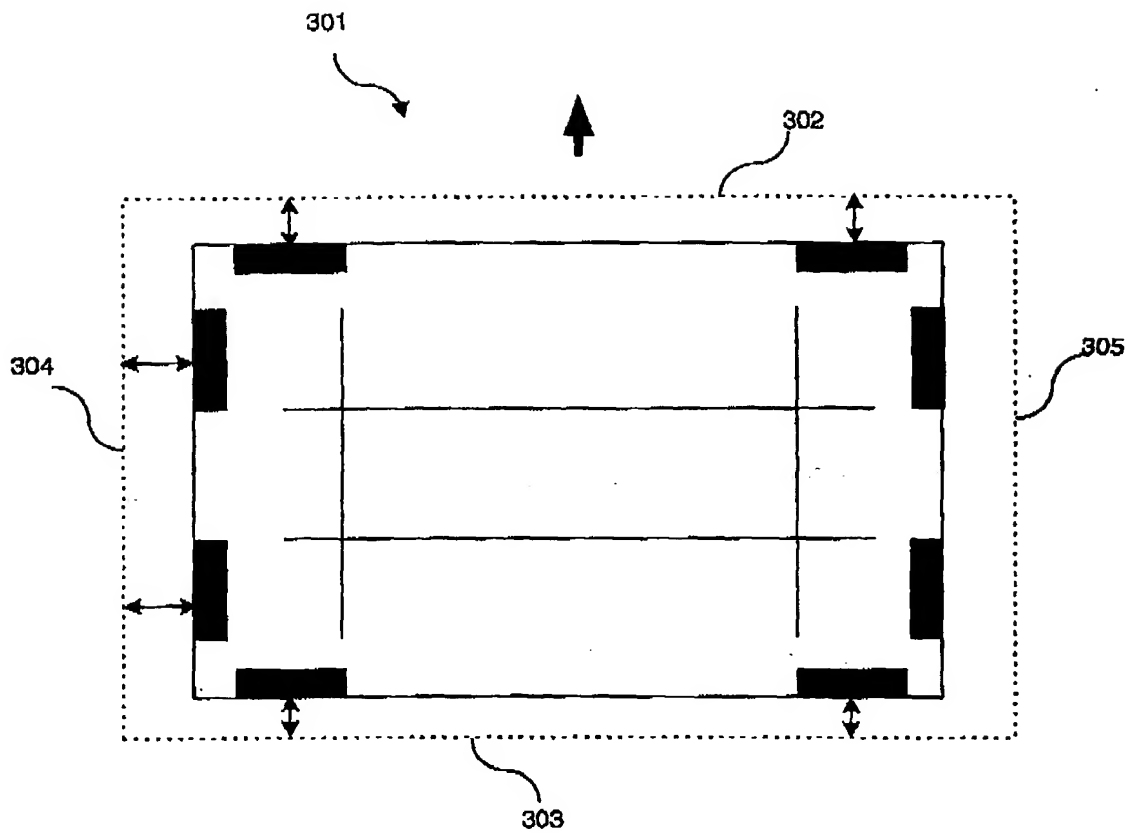


FIGURE 3

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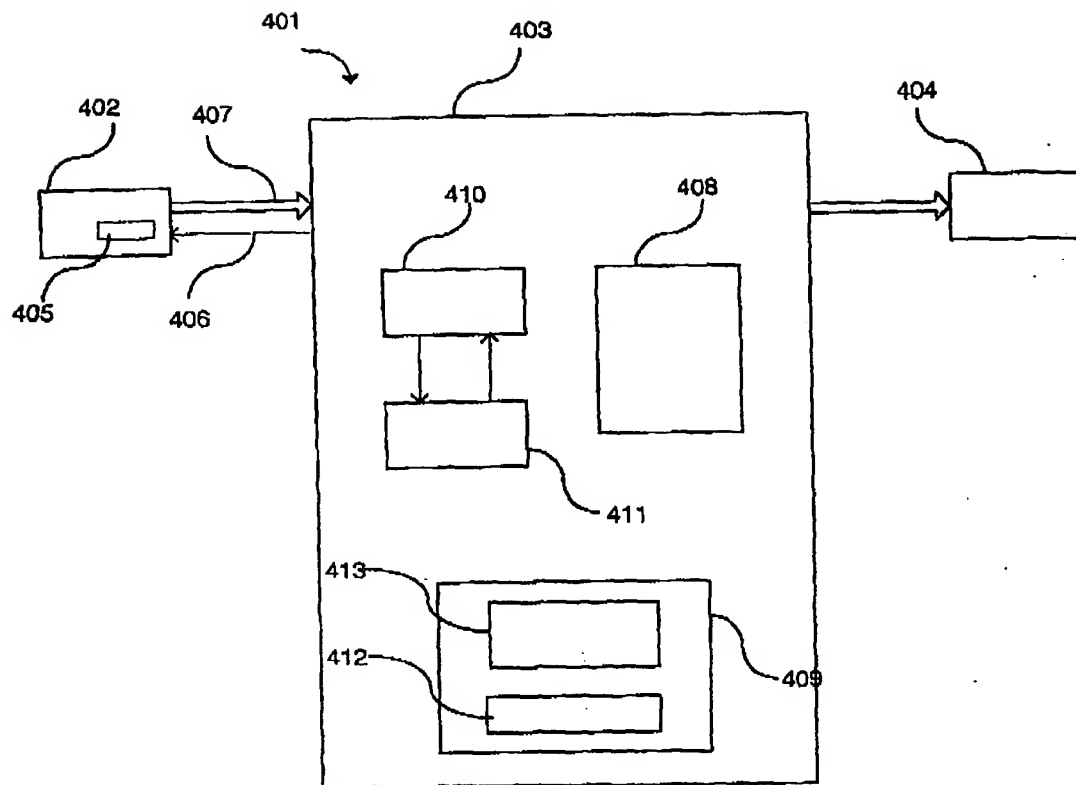


FIGURE 4

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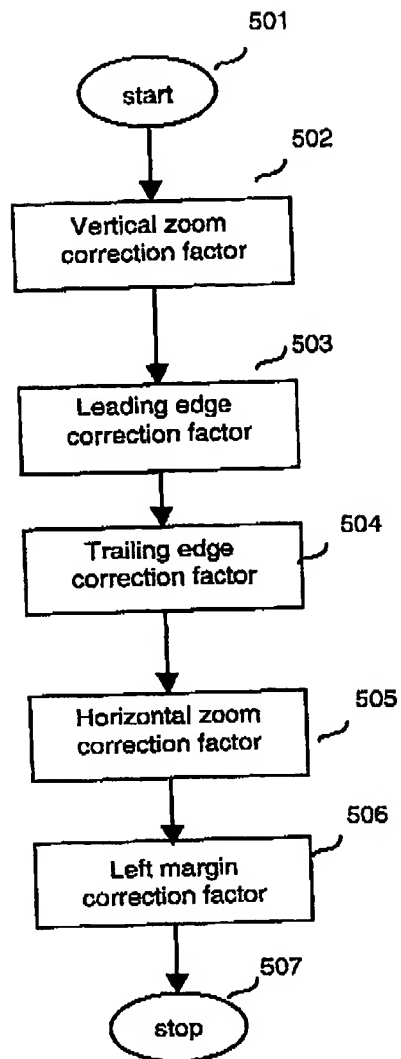


FIGURE 5